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Approved for use through 10/31/2002. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/917,435

Filing Date 07-27-2001

First Named Inventor GORMAN et al.

Group Art Unit 2176

Examiner Name N/A

Attorney Docket Number RD-28,615 (07783-0073)

Total Number of Pages in This Submission

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ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):**27 References****Return Acknowledgement Postcard****Form PTO/SB/08A**

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

McNees Wallace & Nurick LLC
Brian T. Sattizahn

Signature

B. T. Sattizahn

Date

3-27-2002

CERTIFICATE OF MAILING

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Nancie Dobish

Signature

Nancie Dobish

Date

3/27/02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GORMAN et al.

Serial No.: 09/917,435

Filed: 07-27-2001



Group Art Unit: 2176

Examiner: N/A

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Assistant Commissioner for Patents
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INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

☒ A. PTO/SB/08A

☐ B. CERTIFICATION

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

☐ C. \$180.00 FEE PAYMENT

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

☐ D. \$130.00 PETITION, CERTIFICATION & PETITION FEE
PAYMENT

(37 C.F.R. 1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in compliance with
37 CFR 1.98:

☒ I. A copy of each listed U.S. Patent [1.98(a)(2)(i)].

☐ II. A copy of each listed foreign patent and/or published foreign patent
application. For each listed foreign patent and/or published foreign patent
application [1.98(a)(2)]:

☐ a) the English language version or an English translation if not
published in English, if available (see PTO-1449)[1.98(a)(3)];
and/or

☐ (b) U.S. counterpart patents or English language equivalents of the
foreign patents or published foreign patent applications (see PTO-
1449); and/or

- ☐ (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
- ☐ III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
 - ☐ (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
 - ☐ (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- ☐ IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- ☐ V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].

This information is submitted in the above-identified application in compliance with 37 C.F.R.1.97 because:

- ☒ 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- ☐ 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).

☐ 6. This information disclosure is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:

☐ I, _____, hereby state the following:

☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.

☐ 7. This information disclosure statement is submitted with the fee of \$240 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. _____. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).

☐ 8. In addition to the above statement, this information disclosure is submitted with a petition for consideration and petition fee of \$130 required by 1.17(i)(1) (see items 4 and 5 above). Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,



Brian T. Sattizahn

Reg. No. 46,401

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	one	of	two
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Complete if Known

Application Number	09/917,435
Filing Date	07-27-2001
First Named Inventor	GORMAN et al.
Group Art Unit	2176
Examiner Name	N/A
Attorney Docket Number	RD-28,615 (07783-0073)

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U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	US-5,761,683	06-02-1998	Logan et al.	
	AB	US- 5,802,299	09-01-1998	Logan et al.	
	AC	US- 5,802,530	09-01-1998	Van Hoff	
	AD	US- 5,845,299	12-01-1998	Arora et al.	
	AE	US- 5,870,559	02-09-1999	Leshem et al.	
	AF	US- 5,907,704	05-25-1999	Gudmundson et al.	
	AG	US- 5,911,145	06-08-1999	Arora et al.	
	AH	US- 5,956,008	09-21-1999	Kawasaki et al.	
	AI	US- 6,037,935	03-14-2000	Bates et al.	
	AJ	US- 6,044,387	03-28-2000	Angiulo et al.	
	AK	US- 6,055,522	04-25-2000	Krishna et al.	
	AL	US- 6,061,700	05-09-2000	Brobst et al.	
	AM	US- 6,119,135	09-12-2000	Helfman	
	AN	US- 6,138,237	10-24-2000	Ruben et al.	
	AO	US- 6,144,962	11-07-2000	Weinberg et al.	
	AP	US- 6,148,311	11-14-2000	Wishnie et al.	
	AQ	US- 6,161,112	12-12-2000	Cragun et al.	
	AR	US- 6,178,432 B1	01-23-2001	Cook et al.	
	AS	US- 6,185,587 B1	02-06-2001	Bernardo et al.	
	AT	US- 6,189,019 B1	02-13-2001	Blumer et al.	

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	two	of	two
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Application Number	09/917,435
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Filing Date	09-27-2001
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First Named Inventor	GORMAN et al.
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Group Art Unit	2176
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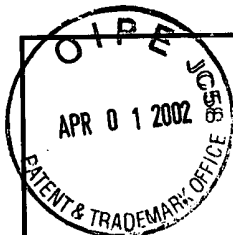
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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